

U.S. Department of Justice
Washington, DC 20530

Supplemental Statement

**Pursuant to the Foreign Agents Registration Act of
1938, as amended**

For Six Month Period Ending April 30, 2017

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant

(b) Registration No.

Doug Schoen

6071

(c) Business Address(es) of Registrant

1111 Park Avenue
New York, NY 10128

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

- | | | |
|---------------------------|------------------------------|--|
| (1) Residence address(es) | Yes ☐ | No ☒ |
| (2) Citizenship | Yes ☐ | No ☒ |
| (3) Occupation | Yes ☐ | No ☒ |

(b) If an organization:

- | | | |
|--------------------------|------------------------------|-----------------------------|
| (1) Name | Yes ☐ | No ☐ |
| (2) Ownership or control | Yes ☐ | No ☐ |
| (3) Branch offices | Yes ☐ | No ☐ |

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.

Yes ☐ No ☐

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐ No ☐

If yes, furnish the following information:

Name	Position	Date Connection Ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☐

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☐

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☒ No ☐

Name	Residence Address	Citizenship	Position	Date Assumed
Monica Crowley		American	Consultant	02/01/2017

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☒ No ☐

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

Victor Pinchuk

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☐ No ☐

Exhibit B⁴ Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

See attached.

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12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☐ No ☒

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

⁵ "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION**14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
See attached.			

Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D⁸ to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

It was not necessary to disburse or expend money in connection with activity or on behalf of Mr. Pinchuk this reporting period.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
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Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐No ☒

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²
 Yes ☐ No ☒

If Yes, go to Item 17.

- (b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?

Yes ☒ No ☐

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (specify) _____

Electronic Communications

- ☐ Email
☐ Website URL(s): _____
☐ Social media websites URL(s): _____
☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☐ Public officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (specify) _____

21. What language was used in the informational materials:

- ☐ English ☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes ☐ No ☐

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)5/30/2017/s/ Doug Schoen

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

FARA Registration #6071
Period ending 4/30/2017
Addendum

Question 11:

During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes x No ☐

If Yes, identify each foreign principal and describe in full detail your activities and services:

All activities listed were engaged in by Monica Crowley, consultant.

Feb 3: Lunch in London with Victor Pinchuk. Discussed potential working relationship, general U.S.-Ukraine relationship, peace efforts involving Ukraine.

Feb 6: Email sent to Mr. Pinchuk thanking him for his hospitality in London.

Feb 7: Received email from Mr. Pinchuk thanking me for traveling to London.

Feb 7: Sent email to Scott Fugel, assistant to General Jack Keane (US Army, retired) about a possible speaking engagement to the Pinchuk Foundation in Kiev in April or May 2017. Spoke with him by phone the same day.

Feb 7: Sent text to Rudolph Giuliani about a possible speaking engagement to the Pinchuk Foundation in Kiev in March 2017.

Feb. 8: Spoke to Rudolph Giuliani about a possible speaking engagement to the Pinchuk Foundation in Kiev in March 2017. He indicated that he'd consider it and get back to me.

Feb 9: Phone call with Jason Miller about the possibility of inviting Secretary of Defense James Mattis and Secretary of Homeland Security John Kelly to attend a luncheon on February 18 in Munich Germany at the Munich Security Conference hosted by Mr. Pinchuk's organization, the Yalta European Strategy. Both Secretaries were scheduled to attend the conference. Mr. Pinchuk's Foundation sent invitations to them directly, and neither replied nor attended the luncheon.

Feb 15-17: Invitation sent to U.S. Senator John McCain via email to attend a luncheon at the Munich Security Conference hosted by Mr. Pinchuk's organization, the Yalta European Strategy. Email sent to Thomas Weihe, Mr. Pinchuk's chief of staff, informing him that the invitation had been sent (Feb 15). Received a response on Feb 17 via Sen. McCain's staff that he would be attending the conference and would attend the luncheon. Sent an email to Mr. Weihe the same day to inform him. Senator McCain did not attend the luncheon.

Feb 18: Munich Security Conference. Attended a luncheon hosted by Mr. Pinchuk's organization, the Yalta European Strategy. Met with Mr. Pinchuk privately after the luncheon to discuss U.S.-Ukraine relations.

FARA Registration #6071

Period ending 4/30/2017

Addendum

Feb 20: Sent email to Mr. Pinchuk thanking him for the invitation to the Munich Security Conference and his luncheon and updating him on efforts to secure speakers for future Pinchuk Foundation events. Sent similar thank you/update email to Mr. Weihe.

Feb 27: Received email from Mr. Pinchuk thanking me for attending the MSC and for my continued efforts.

March 1-2: Exchanged emails with Scott Fugel re the possible speaking engagement for Gen. Keane to the Pinchuk Foundation. Discussed specifics of such an appearance.

March 1: Followed up with Mr. Giuliani about a possible speaking engagement to the Pinchuk Foundation in Kiev.

March 10: Sent follow-up email to Scott Fugel with additional details for a possible speaking engagement for Gen. Keane to the Pinchuk Foundation.

March 11: Received follow-up email from Mr. Weihe.

March 21: Sent follow-on email to Mr. Weihe related to the Yalta European Strategy Conference in Sept. Received reply from Mr. Weihe.

March 31: Received email from Mr. Weihe re Yalta European Strategy Conference.

April 4: Received email from Mr. Weihe re Yalta European Strategy Conference.

April 5: Sent email to Mr. Weihe re Yalta European Strategy Conference.

April 5/6: Received email from Mr. Weihe re the Yalta European Strategy Conference.

April 7: Received email from Mr. Weihe re Yalta European Strategy Conference; replied to Mr. Weihe.

April 10: Spoke and emailed with Mickey Lemle re inviting the Dalai Lama to Yalta European Strategy Conference.

April 10, 11, 12: Followed up with Mr. Fugel re Gen. Keane speaking engagement in Kiev.

Week of April 10: Distributed invitations to Yalta European Strategy Conference to President Trump; Vice President Pence, Secretary of State Rex Tillerson; Secretary of Defense James Mattis; Senators Ted Cruz and John McCain; Secretary of Energy Rick Perry; National Security Adviser H.R. McMaster; National Security Council staffers Victoria Coates and Sebastian Gorka; members of Congress Peter King and Claudia Tenney; and retired General Jack Keane.

April 18: Email sent to Mr. Weihe re the Yalta European Strategy Conference.

April 22: Received/sent emails from Mr. Wiehe re Yalta European Strategy Conference.

FARA Registration #6071
Period ending 4/30/2017
Addendum

Question 14(a):

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes x No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.

DATE	FROM WHOM	PURPOSE	AMOUNT
Total for entire reporting period	Southbay Enterprises Limited/ Daletona Global Limited	Compensation for Douglas Schoen's advisory services rendered	\$240,000.00
Total for entire reporting period	Southbay Enterprises Limited/ Daletona Global Limited	Compensation for Monica Crowley's advisory services rendered	\$45,000.00
Total for entire reporting period	Southbay Enterprises Limited/ Daletona Global Limited	Compensation for international communication	\$675.10.00
Total for entire reporting period	Southbay Enterprises Limited/ Daletona Global Limited	Compensation for Attendance of meetings and events	\$102,555.00
Total for entire reporting period	Southbay Enterprises Limited/ Daletona Global Limited	Compensation for advisory services of a FARA lawyer	\$1,708.00



Yalta European Strategy

An international network for Ukraine in the European Union

Supervisory Board

Aleksander Kwaśniewski
President of Poland (1995-2005),
Chairman of the YES Supervisory
Board

Carl Bildt
Minister for Foreign Affairs
of Sweden (2006-2014);
Prime Minister of Sweden
(1991-1994)

Pat Cox
President of the European
Parliament (2002-2004);
Member of the European
Parliament (1989-2004)

Wolfgang Ischinger
Ambassador, Chairman of
the Munich Security Conference,
Senior Professor of Hertie School
of Governance

Stephane Fouks
Vice President of Havas Group,
Executive Co-Chairman of Havas
Worldwide

Victor Pinchuk
Founder of EastOne group,
Founder of YES

Javier Solana
High Representative for Common
Foreign and Security Policy of
the European Union (1999-2009),
distinguished fellow in Foreign Policy
at Brookings

The Honorable Donald J. Trump **45th President of the United States**

April 12, 2017

Dear Mr. President,

On behalf of the Board of Yalta European Strategy (YES), let us invite you to again be the speaker of honor at the 14th YES Annual Meeting in Kyiv on September 14-16, 2017.

As you know, since 2004, YES has established itself as the main non-government platform for promoting Ukraine's European future, supporting the country's change makers, and promoting Ukraine internationally. The YES Annual Meetings facilitate strategic dialogue between Ukraine and its international partners, and analyze the impact of global challenges on Ukraine.

After your memorable speech by video link at the YES meeting in 2015, we will be thrilled if you agree to again address our distinguished audience of 350 selected decision makers and opinion makers from Ukraine and abroad.

We very much hope that you will be able to accept our invitation, and remain,

Sincerely yours,

Aleksander Kwaśniewski
President of Poland (1995-2005)
Chairman of the Supervisory Board of YES

Victor Pinchuk
Founder and Member
of the Supervisory Board of YES